

22 **Abstract**

23 24 25 26 27 28 29 30 31 32 33 Herbivores are regulated by predation under certain environmental conditions, whereas in others they are limited by forage abundance and nutritional quality. Whether top-down or bottom-up regulation prevails depends both on abiotic constraints on forage availability and body size, because size simultaneously affects herbivores' risk of predation and their nutritional demands. Consequently, ecosystems composed of similar species can have different dynamics if they differ in resource supply. We use large herbivore assemblages in African savanna ecosystems to develop a framework that connects environmental gradients and disturbance patterns with body size and trophic structure. This framework provides a model for understanding the functioning and diversity of ecosystems in general, and unifies how top-down and bottom-up mechanisms are dependent on common underlying environmental gradients.

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35 **Herbivore regulation and the implications of body size**

36 37 38 39 40 41 42 43 The global decline of large herbivores, due to human-induced landland use changes, raises concerns for the long-term conservation of species whose ranges are being reduced to a handful of protected areas [1]. The local extirpation of large herbivores has consequences for entire ecosystems, because of their role in maintaining the diversity of predators and primary producers [2]. Understanding herbivore regulation across resource gradients, such as rainfall, is important for the long-term management and conservation of ecosystems, especially if shifts in global climate result in a mismatch between the location of protected areas and a species' preferred niche. Here, we investigate how

44 45 46 47 resource gradients simultaneously influence top-down and bottom-up processes in ecosystems, using the large herbivore community of African savannas as a generalized example. The model could also prove useful in understanding the relation between disturbance, resource gradients and trophic structure in other ecosystems.

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49 50 51 52 53 54 Classic food chains represent relationships between trophic levels as linear bottom-up or top-down processes: abiotic factors such as rain determine primary production, which is consumed by herbivores, which are, in turn, consumed by carnivores. The abundance of herbivores can therefore be controlled through top-down mechanisms, such as predation [3-5], or through bottom-up constraints on primary production, such as soil fertility (Figure 1) [6-9].

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56 57 58 59 60 61 Trophic cascades in linear models of herbivore regulation (Figure 1,) involve the knockon effects of predation expressed at alternate trophic levels [10]. In the classic example, predators limit the abundance of herbivores, which releases grazing pressure on plants (the 'green world' hypothesis) [11]. In this hypothesis, the abundance of vegetation is determined largely by the availability of abiotic resources as herbivores are regulated by predators [5, 12].

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63 64 65 66 Previous research has elucidated the complexity of trophic interactions by breaking each trophic level into more fundamental components (Figure 1). Specifically, the role of abiotic factors, disturbances, quality and quantity of primary production, and the effect of body size have each been shown to influence independently the distribution and

67 68 69 70 71 72 73 74 75 76 77 abundance of herbivores. Here, we show how common underlying environmental gradients influence both top-down and bottom-up regulation simultaneously. Differences in the relative accessibility of limiting resources can cause ecosystems with similar species to have different regulatory mechanisms. In addition, the body sizes of herbivores determine both their susceptibility to predators and their resource requirements. We show how this generalized model accounts for observed differences in the trophic functioning of the large herbivore community in savanna sites across Africa. Although humans evolved in African savannas and historically affected herbivores through hunting and fire, substantial landuse changes and increasing human populations have put unnatural demands on these systems, which calls for a better understanding of ecosystem dynamics.

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79 **Predation: not all herbivores are affected equally**

80 81 82 83 84 85 86 87 88 89 The simple food-chain view of predator-prey interactions (Figure 1) ignores the fact that not all carnivores can consume all herbivores, and not all herbivores are equally susceptible to all carnivores. Large prey, such as buffalo (*Syncerus caffer*), are difficult to capture and are only consumed by the largest predators, such as lion. Whereas small predators can only consume small prey, large predators might consume both large and small prey (Figure 2a) [13, 14]. Recent work proposes that predation has a greater impact on regulating a population of small body-sized herbivores (e.g. oribi, *Ourebia ourebi*) when the prey base of small predators is nested within that of large predators as this exposes smaller herbivores to more enemies (Figure 2a) [15]. Conversely, if predators specialize on particular size classes of prey (i.e. they partition the prey base), predation

90 91 pressure is expected to be relatively even across all body sizes, until the prey become too large (Figure 2b).

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113 114 115 116 117 In summary, top-down processes are modified by the way in which carnivores partition their food niches and the degree to which larger carnivores dominate smaller carnivores. Explanations as to why there are differences in the mechanism of niche partitioning of predators in otherwise similar food chains can be found in the type of vegetation that supports herbivores and the disturbance regime, which we explore below.

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119 **Forage quality and abundance: not all that is green is edible**

120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 Geographic processes involving erosion of parent material, and rainfall, determine key environmental gradients, such as soil fertility and water availability [22, 23], which influence vegetation structure [7, 24, 25]. Plant structure, in turn, determines the quality and quantity of digestible material available to herbivores (Figure 4a) [9, 26-30]. Primary production varies along environmental gradients [31] and regulates herbivore populations through classic bottom-up processes of resource limitation (Figure 4a) [8, 32-34]. The quantity of primary production increases with rainfall and soil fertility, such that in the absence of herbivory or fire, the largest standing biomass is found in fertile areas with unlimited moisture while declining when either water or soil nutrients become limiting (Figure 4b) [7, 29, 35]. Under high rainfall conditions, plants invest more resources in structural support and protection against herbivory (e.g. stems, lignified tissues, secondary compounds and mechanical defenses [35, 36]). As a result, the digestible quality of primary production is inversely related to rainfall (Figure 4b), such that the amount of energy and nutrients per unit biomass that is extractable by herbivores declines as conditions become wetter [37].

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136 137 138 139 140 141 142 143 144 145 146 147 148 Herbivory provides the most direct access to consumable energy and has evolved multiple times in many unrelated taxa (e.g. molluscs, birds, mammals, insects, reptiles, fish, and marsupials) from both carnivorous and detrivorous ancestors [38]. To digest cellulose, ungulates use a symbiotic fermentation process in the rumen or caecum that is relatively time-consuming and requires a specialized gastrointestinal tract. Small ungulates like oribi and Thomson's gazelle (*Gazella thomsoni*) have smaller gastrointestinal systems, and therefore shorter ingesta retention times [20, 21, 39], which means they cannot process coarse vegetation. Furthermore, small endotherms have a higher energy expenditure per unit mass. These two factors mean that small herbivores have to select the most nutritious, highest energy forage (Figure 4c) [26, 28, 40, 41]. Larger herbivores are relatively unconstrained by the size of their gastrointestinal tract, they have longer retention times, and so can extract sufficient energy from poorer quality food, providing there is sufficient quantity [40, 42] (Figure 4c).

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150 151 152 153 154 In summary, plant quality and biomass are determined by both environmental gradients and plant growth form (Figure 4a). These affect small and large herbivores differently due to differing metabolic constraints. The result is that smaller herbivore populations are nutritionally limited by the quality of forage, whereas populations of larger grazers are limited by the quantity of food (Figure 4d).

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156 **The role of disturbances, facilitation and ecosystem engineers**

157 Sudden shifts in primary production caused by abiotic disturbances such as fire, or

158 marked changes in consumption rates (either herbivory, predation or infection)

159 160 161 162 163 164 165 166 167 168 169 170 potentially rearrange the dynamics of an ecosystem either temporarily or semipermanently into a new state [43, 44]. Given that local densities of herbivores can be regulated by both predation and attributes of the plant community (e.g. structure, quality and quantity), disturbances are factors that can change the primary mechanism of herbivore regulation and lead to nonlinear responses in abundance (Figure 5a) [22, 45- 47]. Reciprocal effects occur between large herbivores and primary producers (represented with double arrows in Figure 5a) that can lead to grazing facilitation between species [25, 48, 49]. Mega-herbivores, such as white rhino or hippo, create and maintain low-biomass grass swards composed of nutritious grazing-tolerant grasses, which subsequently support other smaller grazers [50, 51]. The repetitive grazing of specific patches by multiple

171 species where more dung and urine are deposited might have similar consequences,

172 resulting in fertile hotspot locations where grazers consistently occur over time [52, 53].

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174 175 176 177 178 179 180 181 Reciprocal interactions alter the probability of a disturbance occurring in an ecosystem, whereas interaction modifiers (as per Ref. [14]) alter the severity of a disturbance. For example, the relative proportion of trees and grasses in a savanna influences its potential flammability [54] because grasses (which senescence seasonally) contribute more to the fuel load than do trees. Once grasses dominate the plant community, owing to disturbances such as herbivory (e.g. Ref. [55]), a positive feedback between grass abundance and fire frequency can arise (double arrow in Figure 5a). In addition, the accumulation of grass biomass also alters the intensity of a fire (dotted line in Figure 5a),

182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 which when combined with the positive feedbacks between fire frequency and grass abundance, maintains an open grassland landscape, preventing tree invasion [54, 56-58]. As a result, fires can prevent invasion of grasslands by trees, which is engineered, in part, by the grazing intensity of herbivores [59, 60]. Disturbances such as grazing and fire can act additively in savanna systems by changing the competitive balance between grazing tolerant and intolerant grasses (Figure 5b) [46] and influencing the nutritional quality of the forage supporting herbivores (Figure 5c) [61, 62]. So where some savannas have sufficient rainfall to support closed forests, they persist as mixed grasslands owing primarily to disturbances [46, 63]. Thus, two systems with similar rainfall and nutrient regimes could have different woodland-grassland structures because disturbances push systems between multiple states [55, 58] and this, in turn, affects the abundance of herbivores. **Emerging properties: top-down and bottom-up processes are not mutually exclusive**

198 The separate roles of predation (Figure 3a), primary production (Figure 4a), or

199 disturbance (Figure 5a) in the regulation of herbivore populations have different

200 consequences when they are combined as opposed to when considered separately.

201 Underlying environmental and landscape gradients affect top-down and bottom-up

202 processes simultaneously [2] by influencing the forage quality and quantity available to

203 herbivores while changing their exposure to predation (Figure 6a). Thus, in Kruger

204 rainfall simultaneously affects predation and primary production [64]. Moreover, the

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213 **The scale of heterogeneity determines the mode of regulation**

214 215 216 217 218 219 220 221 222 223 224 225 226 227 Top-down and bottom-up processes are modified by the scale of both spatial and temporal heterogeneity [70]. Suitable habitats can occur heterogeneously at a coarse scale where large patches are separated by long distances, or as a fine-scale mosaic [71, 72]. When heterogeneity is coarse, herbivores migrate long distances between suitable patches. When habitats are locally heterogeneous animals move frequently between small patches but do not move far. On a temporal scale, strong seasonality causes animals to move between patches as phenological conditions change (such as the seasonal drying of grass), whereas weak seasonality enables animals to remain in local areas. The consequences of these different scales are seen in the long-distance seasonal migrations of wildebeest (*Connochaetes taurinus*) in Serengeti, Coke's hartebeest (*Alcelaphus buselaphus*) on the Athi plains of Kenya, or white-eared kob (*Kobus kob leucotis*), topi (*Damaliscus lunatus*) and Mongalla gazelle (*Gazella thomsoni albonotata*) in Sudan [1]. Such movements reduce the impact of predation as predators cannot follow the herbivores over these long distances [73, 74] and result in bottom-up regulation [34] (Box

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237 **Abiotic gradients determine the direction of regulation**

238 239 240 241 242 243 244 245 246 The availability of abiotic factors, such as soil nutrients and rainfall, determines the mode of population regulation of herbivores [2, 80]. High nutrient supply, such as in volcanic or riparian soils, leads to higher quality plant forage as seen in eastern Kruger, southern Serengeti and Samburu (Kenya) [71, 81]. Plants with high nutrient content and low amounts of fiber can support animals of small body size that are top-down regulated. By contrast, sandy soils of granitic origin are low in nutrients, and result in fibrous plants that are less digestible. Such plants are eaten by large herbivores, such as elephants and buffalo, which are bottom-up regulated [29, 42, 82]. This gradation forms the basis for our interpretation of the different savanna systems in Africa, which we describe below.

260 261 262 263 264 265 266 267 268 269 (Figures 3b, 6a) [61, 83-85]. Under these moist fertile conditions, the quantity of food is effectively unlimited and, therefore, regulates only the largest herbivores (dashed blue line, Figure 7a). However, high rainfall causes the grass to have a large proportion of poor quality stems, making digestion more difficult and reducing the overall nutritional quality (dashed green line, Figure 7a). The high standing biomass under high rainfall conditions also conceals predators, making small grazers more susceptible to top-down effects (solid red line, Figure 7a). As a result, under high rainfall and fertile conditions, small herbivores become regulated by predation, as in the Ruwenzori system [86], whereas medium and large herbivores are regulated by the quality of the available forage since the quantity is effectively limitless.

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305 **Conclusions and future directions**

306 307 308 309 310 311 312 313 314 315 316 Predation and competition for resources interact synergistically rather than operate independently [94]. Reciprocal, indirect, additive and interaction modifying relationships shape this synergism to explain functional differences between ecosystems. In essence, the interplay between: (i) the availability of limited abiotic resources (such as nutrients and rainfall) that determine the quality and quantity of primary production; (ii) the evolutionary tradeoffs related to body size (including predation sensitivity, digestive capacity and metabolic requirements); (iii) adaptive behaviors (such as migration or group vigilance), which enable primary consumers to escape regulation; and (iv) the extent and frequency of disturbances (such as fires, storms, extreme temperatures, salinity shifts, scouring, etc.) are processes affecting how predation and competition collectively structure communities. This conceptual structure yields testable predictions for how

317 318 319 320 321 322 323 324 325 global environmental changes might affect the distribution of different sized herbivores and potential regime shifts in ecosystem dynamics [95]. For example, changes in rainfall due to global warming could shift the importance of food and predation in regulating herbivore populations, such that decreasing rainfall would push an ecosystem along the xaxis in Figure 7 from panel a to b, or c to d. The evolutionary role of early huntergatherer humans in regulating herbivores as predators and as agents of disturbance fits the framework of Figure 6. However, modern humans have escaped from factors regulating their population density, which destabilizes framework.

326 327 328 329 330 331 332 Future research should test the predictions of Figure 7. More data are required to resolve the consequences of predation. Specifically, the analysis of herbivore carcasses suggests small prey are prone to many predators (i.e. size-nested predation, Figure 2a) [3], but this is not supported by data on carnviore diets which suggest predation is size-partitioned (Figure 2b) [4]. In addition, an evaluation of mortality in juvenile age classes might show that predation by a single predator, with low capture rates, could still impose strong population regulation, especially for larger species.

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334 In summary, we propose that abiotic factors determine the importance of predation,

335 forage quality and forage abundance in regulating herbivores of different sizes (Figure 7)

336 and this alters the relative strength of the connections between biotic and abiotic

337 components in ecosystems (Figure 6a). The availability of key environmental resources

338 has profound consequences for herbivore regulation and ecosystem dynamics by

339 simultaneously affecting multiple top-down and bottom-up processes. The different

340 341 342 343 344 345 346 herbivore dynamics of the many savanna systems of Africa can be understood in the context of this framework. These concepts could help our understanding of other ecosystems where strong abiotic gradients influence the shape of the community (such as salinity and desiccation in intertidal ecosystems, dissolved oxygen and opacity in aquatic ecosystems, or body mass and predation risk in avian communities). The strength of this framework is that it captures how environmental gradients can switch top-down and bottom-up processes that regulate animal abundance.

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577 Box 1. Adaptive responses to regulation: migrations, crypsis and vigilance

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610 respectively.

- 611 612 613 614 615 616 617 618 Figure 1. Several factors are responsible for regulating herbivore abundances across ecosystems. In this classic food chain approach, the abundance of herbivores is regulated by top-down processes, such as predation, and by bottom-up processes through primary production (arrows indicate the direction of influence). Herbivores (like carnivores) are considered as a single unit, despite showing strong functional divergences based on body size. Primary production in this framework is a general term that fails to distinguish the differences between the quality, quantity, and structure of a plant community.
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- 620 621 622 623 624 625 626 627 628 629 630 Figure 2. The degree of herbivore mortality due to predation depends on the predators' diet selection. (a) If large predators are opportunists and consume prey of all sizes, while small predators only kill small prey, then the prey base of small predators is nested within that of large predators (size-nested predation). The cumulative mortality on small prey is much greater than large prey because they are exposed to more predators. (b) If predators are selective, and only consume prey of a specific size classes (size-partitioned predation), then large predators do not supplement their diet with small prey. When predation is size-partitioned as opposed to sizenested, the cumulative mortality due to predation on small prey is much less, whereas large prey are killed more often.
- 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 Figure 3. The relative importance of predation in regulating herbivore populations depends on the body size of predators and their degree of specialization for certain prey. (a) If large carnivores only eat large prey, and small carnivores only eat small prey (solid vertical lines), the prey base is partitioned. If large carnivores eat both large and small prey (solid and dashed vertical lines), the prey base of smaller carnivores is nested within that of larger carnivores. Large carnivores dominate small carnivores and reduce their efficiency (solid horizontal line). (b) When predation is nested, small prey are exposed to more predator species and become increasingly predator regulated, as in the Serengeti example (solid line). When predation is partitioned, large prey suffer greater predation than do small prey because large predators do not supplement their diet with small prey, as in the Kruger example (dashed line). Data for Serengeti and Kruger reproduced with permission from Refs. [3, 4, 64]. (Abbreviations: $B =$ African Buffalo, $E =$ Elephant, G = Giraffe, H = Hippo, I = Impala, O = Oribi, R = Black Rhino, T = Topi, $W =$ resident Wildebeest, $Z =$ resident Zebra)
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- 648 649 650 651 652 653 654 655 656 Figure 4. The quality versus quantity of primary production regulates large herbivores differently from small herbivores. (a) Physical and environmental gradients have direct and indirect affects (i.e. between non-adjacent levels) on the plant community structure and on the quality and quantity of primary production. (b) For example, the quantity of plant biomass is positively related to increasing rainfall and soil fertility, whereas the digestible quality of the plant declines with increasing rainfall. (c) Large herbivores consume greater quantities of lower quality food, whereas small herbivores consume less food of higher quality because they are constrained by their high metabolism and limited digestive capacity. (d) Therefore,
- 657 658 659 660 661 large herbivores, such as elephant, tend to be regulated by food abundance (dashed blue line), whereas smaller herbivores, such as wildebeest, are regulated by food quality (dotted green line). The smallest herbivores, such as oribi, are mainly predator regulated (solid red line). Reproduced with permission from Refs. [35] (b) and $[21]$ (c).
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664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 Figure 5. Abiotic and biotic disturbances, such as fires or intense grazing, alter the primary production and the plant community structure of a landscape which, in turn, affects the abundance of different sized herbivores. (a) Disturbances can have reciprocal effects (double arrows) on primary production. For example, herbivores can reduce the biomass of grass, which reduces the probability of fire; but, conversely, fires removes grass necromass which stimulates re-growth of high quality shoots that are preferred by herbivores. Interaction-modifying relationships (dotted arrow) alter the effects of a disturbance, such as large amounts of flammable biomass altering the severity of a fire. Positive feed-back loops have additive effects, such as grass biomass increasing the probability of a fire, which removes trees, and provides grass with a competitive advantage. (b) Biotic disturbances, such as grazing lawns created by white rhino, modify the quality and quantity of vegetation over time by altering the competitive balance between grazing tolerant and grazing intolerant grass species (described by Refs. [46, 50, 51]). (c) Abiotic disturbances, such as fires, alter the short-term abundance and nutritional quality of the grasses available to herbivores by removing senescent vegetation and stimulating nutrient-rich re-growth (described by Refs [56, 61, 99]). Long-term species succession, could reverse this trend.

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684 685 686 687 688 689 690 691 692 693 694 Figure 6. An ecosystem template of the macroecological processes determining the abundance and distribution of herbivores. (a) Underlying environmental gradients simultaneously affect both the quality and quantity of forage available to herbivores as well as the efficiency of predators at capturing prey. Thus, bottom-up and topdown processes are not independent. For example, (b) lions select areas with denser vegetation, and (c) areas that are closer to rivers for hunting more often than expected, based on the availability of these resources across the landscape. Therefore, the plant community structure (such as % tree cover) and topographic features (such as rivers) contribute to the predation risk for herbivores, while simultaneously influencing the quality and quantity of forage available to them (data from Ref. [66]).

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697 698 699 700 701 702 Figure 7. Predictions of the relative importance of predation (solid red line), food quality (dotted green line) and food abundance (dashed blue line) in regulating herbivores of increasing body mass across rainfall and soil fertility gradients, assuming all else is equal (e.g. availability of drinking water, size and isolation of protected area, etc.). We consider herbivores between 10kg to 1000kg, with a major portion of grass in their diet [33]. (a) High rainfall and soil nutrients. Food abundance

- 703 704 705 706 707 708 709 710 711 712 713 714 regulates large herbivores, food quality regulates medium sized herbivores and predation regulates small herbivores. (b) Low rainfall, high soil nutrients. Food quality does not regulate. Food abundance regulates large and medium sized herbivores, predation regulates small ones. (c) High rainfall, low soil nutrients. Food quality regulates all herbivores, with predation acting synergistically at small size, and food abundance at very large size. (d) Low rainfall and soil nutrients. Predation is not regulating, food quality acts at small size and food abundance at medium and large sizes. For more details see main text. If rainfall patterns change due to global warming, herbivore regulation within a savanna ecosystem is predicted to shift from panel a to b, or c to d, or visa versa.
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Figure 1

Figure 2

(a) Size-nested predation (b) Size-partitioned predation

Prey body mass Prey body mass

Relative importance in population regulation

Relative importance in population regulation

Duration since fire

Figure 6

Figure 7

Predation Food quality Food abundance B o d y s i z e